



# Introduction

*COMP215: Design & Analysis of Algorithms*

# Today

- Merge Sort: The Algorithm
- Merge Sort: The Analysis
- Guiding Principles for the Analysis of Algorithms

# MergeSort: The Algorithm

- Why begin with MergeSort?
  - Oldie but a goodie, it is the standard sorting algorithm in a number of programming libraries.
  - Canonical divide-and-conquer algorithm.
  - Our running time analysis of MergeSort exposes a number of more general guiding principles.
  - Warm-up for the master method.

6 5 3 1 8 7 2 4

# MergeSort: The Algorithm

- Sorting:

## Problem: Sorting

Input: An array of  $n$  numbers, in arbitrary order.

Output: An array of the same numbers, sorted from smallest to largest.

## Some Algorithms:

- Insertion Sort
- Selection Sort
- Bubble Sort

# MergeSort: The Algorithm

– Insertion Sort

6 5 3 1 8 7 2 4

– Selection Sort

5 3 4 1 2

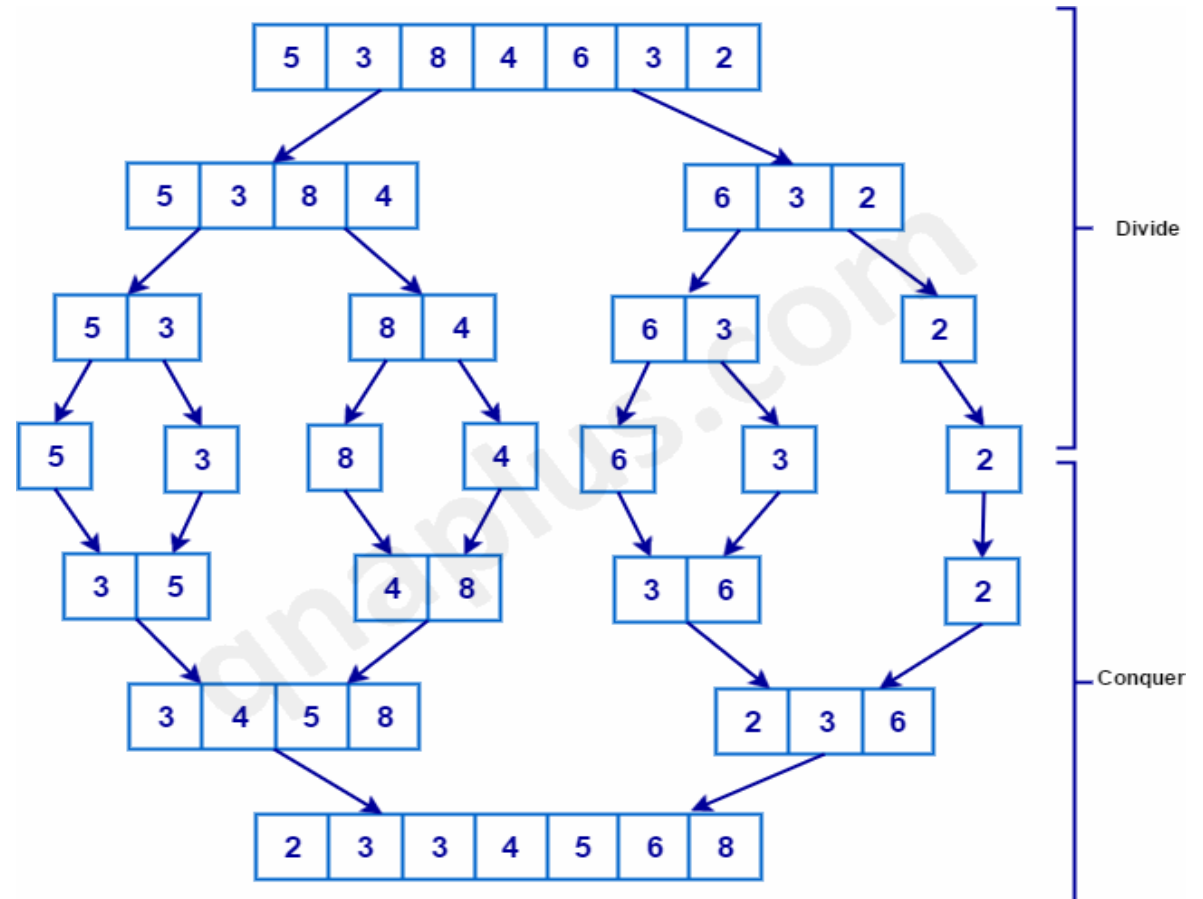
– Bubble Sort

Selection Sort

6 5 3 1 8 7 2 4

# MergeSort: The Algorithm

- Example:



# MergeSort: The Algorithm

## MergeSort

**Input:** array  $A$  of  $n$  distinct integers.

**Output:** array with the same integers, sorted from smallest to largest.

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// ignoring base cases

$C :=$  recursively sort first half of  $A$

$D :=$  recursively sort second half of  $A$

return Merge ( $C, D$ )

## Merge

**Input:** sorted arrays  $C$  and  $D$  (length  $n/2$  each).

**Output:** sorted array  $B$  (length  $n$ ).

**Simplifying assumption:**  $n$  is even.

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```
1  $i := 1$ 
2  $j := 1$ 
3 for  $k := 1$  to  $n$  do
4   if  $C[i] < D[j]$  then
5      $B[k] := C[i]$            // populate output array
6      $i := i + 1$              // increment  $i$ 
7   else                       //  $D[j] < C[i]$ 
8      $B[k] := D[j]$ 
9      $j := j + 1$ 
```

# MergeSort: The Analysis

- Running Time of Merge:

Lemma 1.1 (Running Time of Merge) For every pair of sorted input arrays  $C$ ,  $D$  of length  $n/2$ , the Merge subroutine performs at most  $6n$  operations.



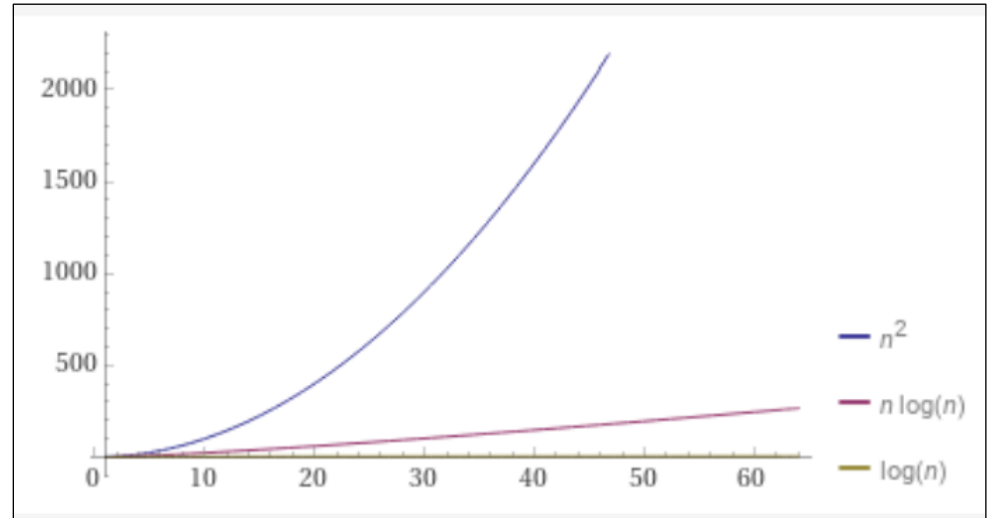
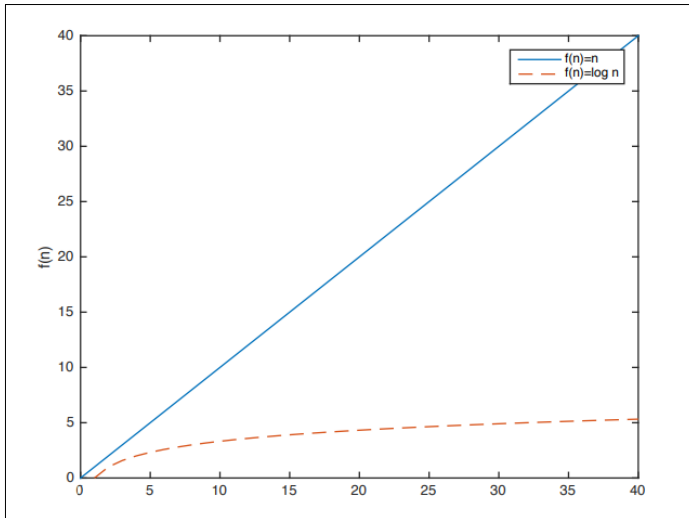
# MergeSort: The Analysis

Theorem 1.2:

(Running Time of MergeSort) For every input array of length  $n \geq 1$ , the MergeSort algorithm performs at most :

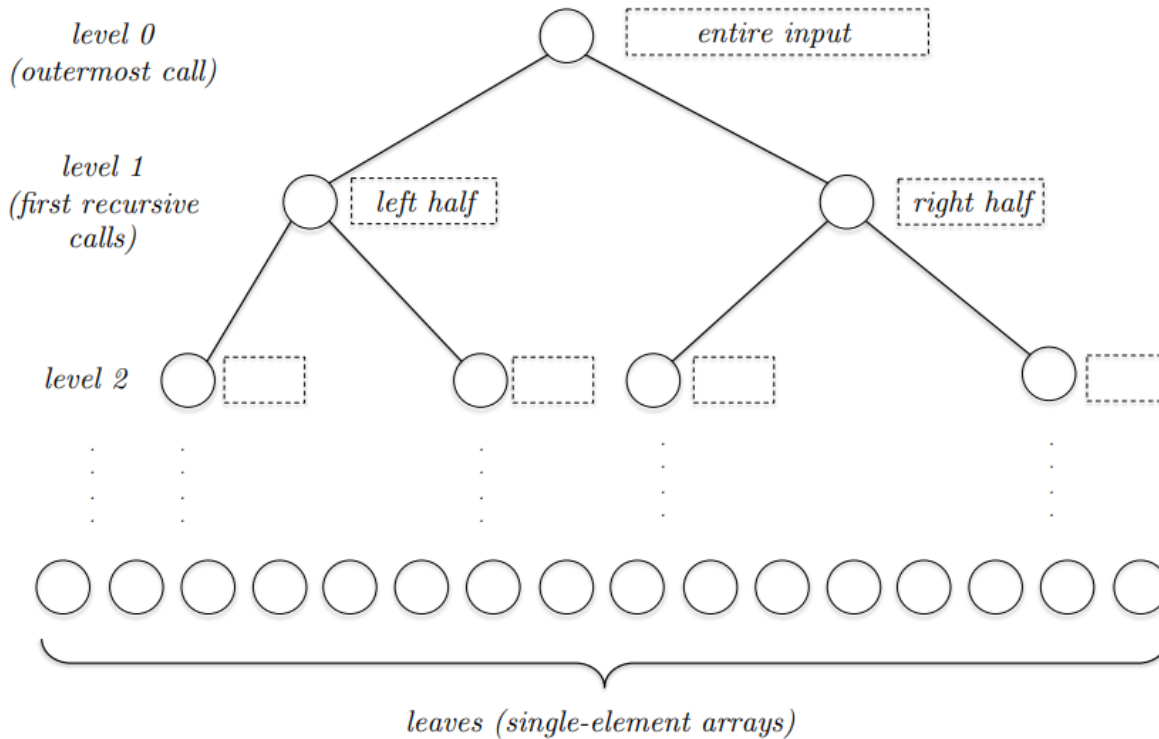
$$6n \log_2 n + 6n$$

# MergeSort: The Analysis



# MergeSort: The Analysis

Proof: Running Time of MergeSort) For every input array of length  $n \geq 1$ , the MergeSort algorithm performs at most  $6n \log_2 n + 6n$



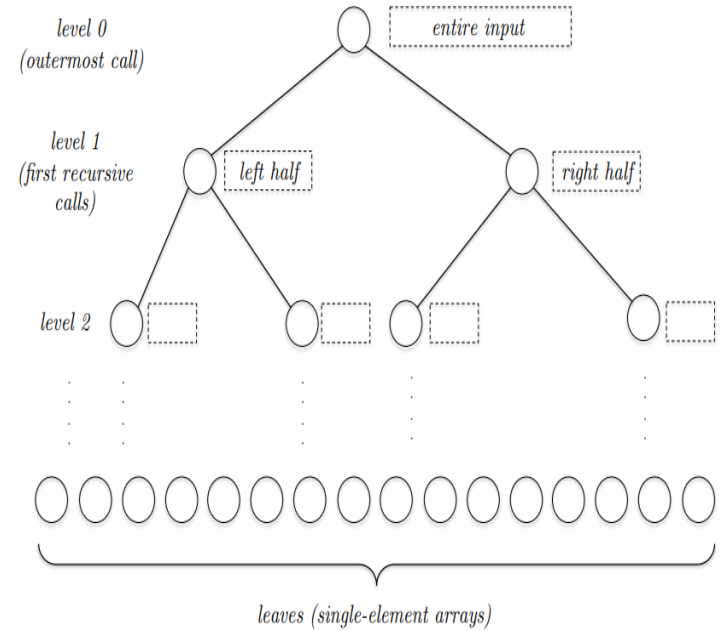
Roughly how many levels does this recursion tree have, as a function of the length  $n$  of the input array?

# MergeSort: The Analysis

## Quiz 1.2

What is the pattern? Fill in the blanks in the following statement: at each level  $j = 0, 1, 2, \dots$  of the recursion tree, there are [blank] subproblems, each operating on a subarray of length [blank].

- a)  $2^j$  and  $2^j$ , respectively
- b)  $n/2^j$  and  $n/2^j$ , respectively
- c)  $2^j$  and  $n/2^j$ , respectively
- d)  $n/2^j$  and  $2^j$ , respectively



The total work done by level- $j$  recursive call:  
# of level- $j$  subproblems \* work per level- $j$  subproblem  
 $= 2^j * 6n/2^j$   
 $= 6n$

# MergeSort: The Analysis

Using our bound of  $6n$  operations per level, we can bound the total number of operations by  
number of levels \* work per level

$$= (\log_2 n + 1) * 6n$$

$$= 6n \log_2 n + 6n$$

# Guiding Principles for the Analysis of Algorithms

- Principle #1: Worst-Case Analysis
  - This type of analysis is called worst-case analysis, since it gives a running time bound that is valid even for the “worst” inputs.
  - Worst-case analysis, in which you make absolutely no assumptions about the input, is particularly appropriate for general purpose subroutines designed to work well across a range of application domains
- Principle #2: Big-Picture Analysis
  - This principle states that we should not worry unduly about small constant factors or lower-order terms in running time bounds
- Principle #3: Asymptotic Analysis
  - Focus on the rate of growth of an algorithm’s running time, as the input size  $n$  grows large.
- What Is a “Fast” Algorithm?
  - A “fast algorithm” is an algorithm whose worst-case running time grows slowly with the input size.